

American Journal of Community Psychology

Effectiveness of the Curriculum-Based Support Group Program to Help Mitigate Adverse Childhood Experiences in Young At-Risk Children

--Manuscript Draft--

Manuscript Number:		
Full Title:	Effectiveness of the Curriculum-Based Support Group Program to Help Mitigate Adverse Childhood Experiences in Young At-Risk Children	
Article Type:	Original article	
Corresponding Author:	Vicki A. Nejtek, Ph.D. University of North Texas Health Science Center Fort Worth, Texas UNITED STATES	
Corresponding Author Secondary Information:		
Corresponding Author's Institution:	University of North Texas Health Science Center	
Corresponding Author's Secondary Institution:		
First Author:	Vicki A. Nejtek, Ph.D.	
First Author Secondary Information:		
Order of Authors:	Vicki A. Nejtek, Ph.D. Deepika Talari, M.B.B.S., M.P.H.	
Order of Authors Secondary Information:		
Funding Information:	Rainbow Days, Inc. (RP0145)	Dr. Vicki A. Nejtek
	Recovery Resource Council (RP0123)	Dr. Vicki A. Nejtek
Abstract:	<p>Approximately 34 million children ages birth to 17-years old in the United States have encountered one or more adverse childhood experiences (ACEs) in their lifetime (www.cdc.gov/nchs/slatis/nsch.htm#2011nsch). The detrimental physical and mental health effects of adverse childhood experiences are well-documented in adults. However, the available data showing the effectiveness of evidence-based prevention intervention programs delivered to children currently undergoing ACEs are scarce. Here, we evaluated the short-term and 1-year effectiveness of the Curriculum-Based Support Group program delivered to children with one or more ACEs (N = 1037) attending kindergarten to third grade in a multi-community, proof-of-concept study. We found participants significantly improved their confidence, self-esteem, cooperation with others, classroom participation, willingness to listen, appropriate decision-making, and classmate interactions in both the short-term and the 1-year follow-up conditions. These developmental competencies are key in promoting normative behaviors necessary for children to become resilient to overcome ACEs (Mandleco and Peery 2000). Mood and substance use problems and health deterioration in adulthood can be traced to poor self-esteem triggered by ACEs (Mann et al 2004). Thus, CBSG participation may have strong potential to mitigate the negative lifelong effects triggered by ACEs. Although encouraging, these proof-of-concept data should be viewed with caution.</p>	

September 9, 2015

Jacob Kraemer Tebes, Ph.D.

Editor, American Journal of Community Psychology

Yale University School of Medicine

Division of Prevention and Community Research & The Consultation Center

389 Whitney Avenue

New Haven, CT 06511

Dear Dr. Tebes,

My co-author and I are submitting a multi-community study evaluating the effectiveness of a school-based prevention intervention program delivered to kindergarten to third grade children who have encountered one or more adverse childhood experiences during the past 9-months.

We would appreciate your kind consideration of publishing this manuscript in your esteemed journal. Please do not hesitate to contact me should you have any questions about our submission.

Kindest Regards,

Vicki A. Nejtek, Ph.D.

Associate Professor

Director of Co-Occurring Disorders Research

Office: 817-735-0640

FAX: 817-735-0643

Vicki.nejtek@unthsc.edu

Effectiveness of the Curriculum-Based Support Group Program to Help Mitigate
Adverse Childhood Experiences in Young At-Risk Children

*Vicki A. Nejtek, Ph.D., Deepika Talari, M.B.B.S, M.P.H.

**Corresponding Author*

University of North Texas Health Science Center at Fort Worth

Texas College of Osteopathic Medicine

3500 Camp Bowie Blvd., PCC 2.290

Fort Worth, TX, 76107

Off: 817-735-0640

FAX: 817-735-0643

Vicki.nejtek@unthsc.edu

Both authors from UNT Health Science Center

INTRODUCTION

According to the 2011-2012 National Survey of Children's Health, almost half of the nation's children ages birth to 17 years old (~ 34 million) have experienced one or more Adverse Childhood Experiences (www.cdc.gov/nchs/slait/nsch.htm#2011nsch). Children exposed to Adverse Childhood Experiences (ACEs) include living with caregivers who abuse illicit drugs, alcohol, and/or prescription drugs, who are physically and/or sexually abusive, who purposefully neglect or abandon them, as well as those who chronically argue, sow discord, and promote dysfunctional familial relationships (www.cdc.gov/violenceprevention/acestudy/prevalence.html; Felitti et al. 1998; Anda et al. 2002). Other kinds of ACEs involve caregivers who have serious mental illness or those who may damage the parent-child bond due to incarceration, institutionalization, divorce or separation (Felitti et al. 1998; Dube et al. 2001; Chapman et al. 2004).

For over a decade converging evidence shows that in comparison to those without ACEs, adults who encountered ACEs as children have higher than usual rates of cancer [Brown, Thacker et al. 2013], diabetes [Lynch et al. 2013; Danese et al. 2009], chronic obstructive pulmonary disease [Anda, Brown, Dube, 2008], autoimmune / inflammatory disease [Dube et al 2009; Danese et al. 2009], and cardiovascular disease [Dong, Giles, et al 2004; Batten et al 2004]. Smoking [Anda, Croft, Felitti, et al 1999; Earl, Anda, Edwards et al. 2011], alcohol and illicit drug addiction [Dube 2006; Anda 2002; Dube 2003; Dewit 2000; Rothman 2008; Kaufman 2007; Grant 2006], depression [Chapman 2004; Anda 2002; Danese, et al 2009], suicide [Dube 2001], and criminal involvement [Reavis 2013] are also common in adults who suffered with ACEs. While our awareness and knowledge about the poor health consequences of adults with ACEs have intensified, scant attention has been raised concerning therapeutic programs developed to prevent the adverse effects of ACEs in

1
2
3 children. The available research shows that at-risk children exposed to adverse situations
4
5 as described above have an increased risk for asthma, diabetes, and obesity, just to name
6
7 a few (Felitti et al. 1998; Flaherty et al. 2006; Fuemmeler et al. 2009; Flaherty et al. 2013;
8
9 Lynch et al. 2013; Wing et al. 2015). Thus, prevention and intervention programs delivered
10
11 to these at-risk children to avert mental and physical health decline should be of great
12
13 importance.
14
15
16
17
18
19

20 Darcy and colleagues (2011) recently described the 2013 Child FIRST intervention (e.g.
21
22 family home visits, outreach referrals) as a program that attempts to modify the dysfunctional
23
24 family unit in relation to ACEs. However, program efficacy requires multiple contacts with
25
26 numerous social service agencies and extensive service provider follow-ups with a
27
28 commitment from the abusive or neglectful caregiver to fully participate (Darcy et al. 2011).
29
30 In contrast, the Curriculum-Based Support Group (CBSG) program is an evidence-based
31
32 program that is delivered directly to the ACEs child in the neutral environment of the school
33
34 setting. The CBSG program has been designed to instill normative behaviors, increase the
35
36 child's self-esteem and social connectedness, and heal their internal well-being while
37
38 enhancing their cognitive development (www.rdikids.org/docs/CBSGOverview2014.pdf;
39
40 www.nrepp.samhsa.gov/ViewIntervention.aspx?id=185). Some longitudinal data suggests
41
42 that the types of psychological, social, and cognitive domains targeted with the CBSG
43
44 curriculum may be critical to mitigating the negative downstream effects of ACEs (Nilsson et
45
46 al. 2015; Jones et al. 2015; Mann et al. 2004).
47
48
49
50
51
52
53
54
55
56

57 Here, we evaluated the short-term and long-term effectiveness of the CBSG program
58
59 delivered to at-risk children in a multi-community study. The optimal time to begin
60
61 delivering this type of curriculum to avert poor mental and physical health and to reduce the
62
63
64
65

1 risk of substance use during the lifetime is in kindergarten (Jones et al. 2015) and in early
2
3
4
5
6 childhood (Kaufman et al. 2007). Thus, our primary hypothesis was that psychological,
7
8 social and cognitive domains governing self-esteem, social connectedness, internal well-
9
10 being, and normative behaviors in young at-risk children attending grades K-3 would
11
12 significantly improve after CBSG exposure. The secondary hypothesis was that the CBSG
13
14 curriculum would have a positive long-lasting effect as measured 1-year later.
15
16
17
18
19

20 **METHODS**

21 **Study Design**

22
23 A multi-community, prospective, proof-of-concept study to evaluate CBSG program
24
25 effectiveness in at-risk early school-aged children was designed. Program implementation
26
27 sites were public school districts located in two metropolitan cities geographically situated ~
28
29 35-miles apart. There were three independent school districts in Community Site 1 (Dallas,
30
31 Texas) and 4 independent school districts in Community Site 2 (Fort Worth, Texas). In
32
33 accordance with the declaration of Helsinki, this study received our university's Institutional
34
35 Review Board approval, and written informed consent from the parents in each school
36
37 allowing their children to participate in the CBSG program was obtained.
38
39
40
41
42
43
44
45
46

47 **Participants**

48
49 Boys and girls of all race/ethnic backgrounds, between the ages of 4- to 9-years old
50
51 attending public schools in grades K-3 were referred to the CBSG program by their
52
53 teachers or school counselors who had identified them as an 'at-risk' child. Here, the
54
55 operational definition of 'at-risk' aligns with a child undergoing one or more ACEs in the
56
57 past 9-months (for a complete review of ACE criteria see
58
59
60

61 www.cdc.gov/violenceprevention/acestudy/prevalence.html).
62
63
64
65

CBSG Curriculum and Program

The CBSG curriculum for children in grades K-3 utilizes a developmental model that is rooted in the combined theories of Piaget and Vygotsky concepts (Blake and Pope, 2008; Malerstein 1979; Fischer, 1980) that targets psychological, social, and cognitive functioning domains. The curriculum is designed to instill age-related normative beliefs, strengthen confidence, improve self-esteem, encourage socially-appropriate interactions, boost listening skills and enhance decision-making. Increased skills in these domains are reported to be essential to counteract the negative influence of ACEs and help a child build resilience to adverse circumstances (Mandleco and Peery 2000). In addition, in support of the theory of life course/social field first proposed by Kellam et al (1975), the social aspect embedded in the prevention/intervention program messaging is integrated with psychological and cognitive constructs to yield a richer dimension of each child's overall internal well-being measured in the classroom environment by their teacher. To that end, we expect that a child's ability to cope and become resilient to ACEs is entrenched in their internal levels of self-confidence and self-esteem in concert with their ability to adapt to diverse social cues they may receive in a positive school-based environment outside the negative family situation.

The CBSG program is uniquely designed to be delivered and evaluated in a variety of settings such as the school classroom setting and is recognized by the (1) Center for Substance Abuse Prevention, (2) U.S. Department of Housing and Urban Development, (3) Substance Abuse and Mental Health Services Administration (SAMHSA), U. S. Department of Health & Human Services and is registered as an evidence-based prevention intervention program on the National Registry of Evidence-based Programs and Practices (<http://www.nrepp.samhsa.gov/ViewIntervention>). The CBSG program curriculum

has been implemented throughout Texas and in 31 other states since 1984 resulting in ~1.6 million children served (www.trans4mcenter.org). The overall purpose of this program is *"To provide children and youth who have had, or are at risk for having, adverse experiences with the skills and support they need to rise above adversity and stay in school, and stay free of drugs, violence and crime"* (www.rdikids.org/docs/CBSGOverview2014.pdf; www.trans4mcenter.org).

Program Delivery

Children participated in the CBSG program within the school classroom setting in increments of 1-hour sessions occurring once a week for up to 10-weeks. Sessions were delivered by CBSG facilitators who had received a minimum of 8-hours of training provided by the CBSG program development team. To maximize learning, children in each group session were two or less years apart in age and each group's size was restricted to ten or less students. Both community sites whose facilitators delivered the program in the classroom were required to collect outcomes using a pre- and post-program survey developed by the CBSG development team and approved by the Texas Department of State Health Services (DSHS) for use in public schools.

Program Survey

The CBSG survey contains 6-items measuring the following domains: 1) confidence/ self-esteem, 2) cooperation with others, 3) classroom participation, 4) willingness to listen, 5) appropriate decision-making and 6) classmate interactions. The survey test instrument uses a likert scale and both pre- and post-program surveys are administered and scored by each participant's referring teacher or school counselor. The likert rating scale consists of 0=never, 1=rarely, 2=sometimes, and 3=always measures improvement. Each item is

1
2
3
4 summed to yield individual item scores and a grand total pre- and post-program score for
5
6 each participant. It is important to mention that the child does not realize when or where
7
8 the teacher or counselor is scoring their performance as both pre- and post-program
9
10 assessments are conducted during routine classroom activities that are not linked to CBSG
11
12 session delivery. Using the current dataset, the survey has a high level of reliability and
13
14 internal consistency as determined with a Cronbach's alpha of 0.915. Factor analysis
15
16 shows the 6-item survey also has a high level of construct validity in the pre- and post-test
17
18 conditions with communalities ranging from 0.603-0.811 and an overall Kaiser-Meyer-Olkin
19
20 Measure of Sampling Adequacy of 0.899.
21
22
23
24
25
26

27 **Data Collection and Analyses**

28
29 Demographic information such as the geographic school site, age, grade, gender,
30
31 race/ethnicity, school district, and facilitator name was collected. Dependent variables for
32
33 all children were individual item scores and grand total scores for each experimental
34
35 condition (pre-, post-program, and 1-year follow-up). One-year follow-up survey scores
36
37 were collected on a subset of the children attending grades K-3 whose post-program
38
39 whereabouts could be identified by the facilitator, referring teacher or counselor within the
40
41 next academic school year. The children in the 1-year post-program follow-up dataset had
42
43 not received any additional CBSG program sessions and surveys were scored by a non-
44
45 referring teacher who was blind to the child's pre- and post-program scores that were
46
47 obtained in the prior year.
48
49
50
51
52
53
54
55

56
57 Qualitative data were analyzed using a combination of descriptive statistics, frequency
58
59 distributions, and chi-square analyses, as appropriate. Paired *Student's t*-tests were used
60
61 to determine whether each participant's scores improved from the pre- to post-program
62
63
64
65

conditions. Repeated measures ANOVA was used to examine improvement in scores from pre- to post-program to 1-year post-program follow-up in the subset of children as described earlier. School site, gender, race/ethnicity were analyzed as co-variables. Regression analyses were used to determine if number of sessions attended or reason for referral to CBSG had any predictive influence on outcome scores. Post-hoc Bonferonni analyses were used to control multiple comparisons. As this was a proof-of-concept study, we wanted to use a stricter significance criteria with a 99% confidence interval and an alpha of 0.01 to determine CBSG program effectiveness. All statistical analyses were performed with the IBM Statistical Package for the Social Sciences (SPSS) version 21 (Armonk, NY).

RESULTS

Combined Multi-Community Sites 1 + 2

Participants

The combined community sites yielded a grand total of 1,057 students attending grades K-3 who participated in the CBSG program. Demographic information is shown in Table 1.

PLACE TABLE 1 ABOUT HERE

Survey Results

Out of the 1057 enrolled, 1037 provided evaluable data for both the pre- and post-program comparison analyses. According to age-related grade level, participants included those in kindergarten=266, 1st grade = 289, 2nd grade = 298, and 3rd grade = 204. As each child enters the program at different levels of development, we were not surprised to find significant differences in pre-program scores as a function of grade level ($F_{(3,1036)} = 5.86, p$

= 0.001). Bonferroni comparisons showed the predominating factor that influenced grade level differences was between kindergarten and 1st graders ($p = 0.000$). We propose that this may represent the natural milestone in psychological, social, and cognitive developmental change that is evident between kindergarten and 1st grade children. Interestingly, there were no significant differences between grade levels in the post-program scores ($F_{(3,1036)} = 1.141, p = 0.331$). Thus, having received CBSG program exposure all children seemed to improve at the appropriate development level within their grade cohort.

Survey grand total mean scores analyzed with paired *t*-tests show that in comparison to pre-program survey scores, children significantly improved after CBSG participation (11.26 ± 3.54 vs. 14.30 ± 3.04 ; $t_{(1036)} = -35.77, p < 0.0001$). Figure 1 shows the extent of improvement in each of the individual survey items corresponding to specific psychological, social and cognitive domains. The results indicate that CBSG participation significantly improves confidence/self-esteem, cooperation, classroom participation, willingness to listen, appropriate decision-making, and classmate interactions.

PLACE FIGURE 1 ABOUT HERE

These results were not influenced by gender ($F_{(1036)} = 2.42, p = 0.104$) or race/ethnicity ($F_{(1036)} = 0.662, p = 0.398$). However, as the data were obtained by multiple school districts in two different cities, 'site' was used as a grouping variable and 'school district' within each site as a random factor to determine if there were any significant differences in pre- or post-program scores as a function of location. Based on the estimated marginal means and Bonferroni corrections, an ANOVA showed there were no significant differences

1
2
3 in pre-program scores ($F_{(1056)} = 0.001, p = 0.978$). However, a significant difference was
4
5 noted in post-program scores ($F_{(1036)} = 25.40, p = 0.0001$). Analyzing each of the 7
6
7 independent school district (ISD) sites located in urban and suburban areas indicated that
8
9 the mean differences in post-program scores between the two largest urban independent
10
11 school districts (Fort Worth vs. Dallas) contributed to the post-program differences ($p =$
12
13 0.0001). Thus, the combined dataset was separated into Community Site 1 (Dallas) and
14
15 Community Site 2 (Fort Worth) and the data reanalyzed to determine CBSG program
16
17 effectiveness for each community.
18
19
20
21
22
23
24

25 **Community Site 1: Rainbow Days – Dallas, Texas**

26 *Participants*

27
28 Demographics from a total of 342 participants in site 1 are shown in Table 2. Out of 342
29
30 children who enrolled in the program, 336 provided evaluable data for statistical paired
31
32 comparisons from both the pre- and post-program conditions. This represents a ~1.75%
33
34 attrition rate.
35
36
37
38

39
40 PLACE TABLE 2 ABOUT HERE
41
42
43
44

45 *Pre- and Post-Program Survey Results*

46
47 The total mean scores in the pre- vs. post-program conditions (means = 11.96 vs. 15.80)
48
49 significantly differed suggesting that CBSG participation improved psychological, social and
50
51 cognitive domains ($t_{(335)} = -22.01, p = 0.0001$). Figure 2 illustrates the improvement in each
52
53 individual survey item scored in the pre- and post-program conditions.
54
55
56
57
58
59
60

61
62 PLACE FIGURE 2 ABOUT HERE
63
64
65

Reason for Need and Number of Sessions Attended

The 'reason for need' to refer a child to the CBSG program included child abuse (n= 4), domestic violence + homelessness (n=23), homeless (n= 94), and human trafficking (n=17). Although the ACE questionnaire was not formally used, a consensus determination by facilitators, teachers, school counselors, program evaluator, and the CBSG development team was used to classify these 138 children as having at least 4 ACEs due to the nature of their experiences. The other 198 children's 'reason for need' was recorded as receiving a 'counselor or teacher referral' with no additional information available. Mean change scores for the other groups include the abused children who showed the greatest improvement than any other group (mean = 5.75 +/- 3.3), the domestic violence + homeless group (mean = 3.00 +/- 3.0), and human trafficking (mean = 2.88 +/- 3.72). Bonferroni post hoc comparisons showed that there was a significant difference in the pre-to post-program change score between 'homeless' (mean = 2.85 +/- 3.9) and 'counselor or teacher referral' (mean = 4.4 +/- 2.6), $F_{(4,335)} = 5.32$, $p = 0.001$. These data suggest that while the homeless children improved, their overall improvement was less than those referred by their teacher/school counselor.

When the number of sessions attended were analyzed in relation to reason for need, we found that the 138 children who were most in need attended 4-5 sessions in comparison to the 198 children who were counselor/teacher referred to the CBSG program who attended 8-10 sessions. Among those children who were most in need, regression analyses showed that the change score was not significantly influenced as a function of sessions attended ($F_{(1,137)} = 0.013$, $R^2 = 0.010$, $\beta = -0.09$, $t = -0.11$, $p = 0.132$). Among those who were teacher/school counselor referred, regression analyses again showed that the change

score was not significantly influenced as a function of number of sessions attended, ($F_{(1,197)} = 2.28, R^2 = 0.012, \beta = 0.43, t = 1.51, p = 0.132$).

Overall, within the groups of children most in need ($n = 138$) and those in the teacher or school counselor referred group ($n=198$), all children showed improved change scores. However when the number of sessions the total population received were compared to each other (regardless of the reason for need), the only significant difference in change scores was noted between those children who attended 5 sessions vs. 10 sessions ($F_{(4,331)} = 5.04, p = 0.001$). Again, the children who attended 5 sessions were those who were homeless. Although the homeless children significantly improved overall with the 5 sessions they received as shown earlier, these data suggest that children who are homeless may benefit more than any other at-risk group if they received more than 5 sessions of CBSG program messaging.

Community Site 2: Recovery Resource Council – Fort Worth, Texas

Study Participants

Table 3 shows the site 2 demographics of 715 participants who enrolled in the CBSG program.

PLACE TABLE 3 ABOUT HERE

Pre- and Post-Program Survey Results

Out of the 715, there were 701 children who provided evaluable data for statistical paired comparison analyses from the pre- and post-program conditions. This represents a ~1.95% attrition rate. Those participating in the CBSG program showed significant improvements from the pre- and post-program total test scores (mean = 10.93 vs. 13.59; t

(700) = -29.36, $p < 0.001$). Figure 3 shows the psychological, social, and cognitive domains that significantly improved after CBSG program participation.

PLACE FIGURE 3 ABOUT HERE

Reason for Need and Number of Sessions Attended

The 'reason for need' to refer a child to the CBSG program included skills deficit ($n = 375$), risky behaviors ($n = 57$), mental or physical health problems ($n = 77$), and socioeconomic issues ($n = 187$). As stated with Community Site 1, a consensus determination by facilitators, teachers, school counselors, program evaluator, and the CBSG development team was used to classify these children as having at least two ACEs due to the nature of their experiences. The mean number of sessions attended was 9.24 ± 1.51 . Of the 701 children with evaluable data, post hoc Bonferroni comparisons showed that there were no statistically significant differences in change scores as a function of 'reason for need' ($F_{(4,696)} = 1.194$, $p = 0.312$) or as a function of number of sessions attended ($F_{(9,705)} = 1.128$, $p = 0.340$). Thus, no further analyses were performed with these variables.

To determine if CBSG participation would produce long-lasting effects without additional CBSG sessions, we conducted a 1-year follow-up survey assessment. We found 96 third grade children whose matched survey scores for the three experimental conditions, pre- and post-program, and 1-year follow-up were obtained. It is important to reiterate that these 96 children did not receive any further exposures to CBSG sessions beyond the original sessions they attended during the time period prior to the 1-year follow-up testing.

A repeated measures ANOVA used to examine longitudinal improvement over time and a Wilk's Lambda was used to determine the accompanying effect size as shown with partial

eta-squared. Figure 4 shows the individual survey item scores in the pre-, post-, and 1-year post-program conditions with the accompanying multivariate statistics. Teachers who assessed the children in the 1-year condition were fourth grade teachers and were different than the third grade teachers who assessed them in the pre- and post-program conditions. To determine if there were any scoring bias between teachers or school counselors, we conducted additional analyses. There were no significant scoring differences in any of the experimental conditions among teachers or counselors who may have differed between third and fourth grades ($F = 0.020, p = 0.88$). The data show that CBSG participation provides long-lasting psychological, social, and cognitive improvements that appear to continue up to 1-year later.

PLACE FIGURE 4 ABOUT HERE

DISCUSSION

To our knowledge, this is the first multi-community site study to prospectively examine the effectiveness of a prevention/intervention program targeting young at-risk children who have suffered at least one ACE during the past 9-months. The results support our primary hypothesis that CBSG program participation significantly improves psychological, social, and cognitive domains in these young and vulnerable children. Moreover as predicted in our secondary hypothesis, the data indicate that the CBSG curriculum messaging is effective in promoting long-lasting improvements in these domains observed 1-year later.

The evidence suggests that CBSG program participation enhances developmental competencies in increased self-confidence and self-esteem, classroom cooperation and participation, and appropriate social interactions. Importantly, CBSG participants appear to be more willing to listen and more skilled in processing information that is critical in

appropriate decision-making. These developmental competencies are key in promoting normative behaviors necessary for children to become resilient to overcome ACEs (Mandleco and Peery 2000). Moreover, Mann et al (2004) reported that the onset of mood and substance use problems, health deterioration, delinquency, and school drop-out in later life can be traced to poor self-esteem triggered by ACEs in childhood. Thus, it is reasonable to expect that delivering the CBSG program to children currently undergoing ACEs has strong potential to mitigate the negative lifelong effects triggered by ACEs.

Our longitudinal data that suggests that CBSG participation promotes sustained psychosocial competence are particularly encouraging as Jones et al (2015) conducted a 20-year longitudinal study and found a child's social competence in kindergarten is a stronger predictor of future criminality in adulthood than childhood aggression. Jones et al (2015) examined teacher's ratings of pro-social behavior in kindergarten children and followed them until they were 25-years old. Pro-social behavior was defined as cooperating with their peers, helpful, adept at understanding other's feelings, and knows how to be a problem-solver. Importantly, these are the same types of behaviors the CBSG program enhances.

Jones et al (2015) showed that kindergarten children with poor pro-social behavior scores were significantly more likely to drop out of high school, be arrested as a juvenile delinquent, and have a higher number of arrests in adulthood than those with higher pro-social ratings. In addition, binge drinking and the number of days marijuana use also predicted by poor social behavior in kindergarten children such as the likelihood that they would live in or be on a wait list for public housing and receive public assistance as an

1
2
3 adult. These results may have relevance to the homeless children in our study who lead
4
5
6 transient lives and are at-risk for a lifetime of poor health, legal involvement, and poverty.
7
8
9

10 Although the homeless participants only received five CBSG sessions, the post-program
11
12 scores suggest that they significantly benefited with this brief CBSG exposure. Whether or
13
14 not this benefit is long-lasting in this group cannot be determined with this dataset.
15
16
17 However, the fact that young homeless children have rarely been studied, underscores the
18
19 importance of our data that suggests that homeless children exposed to the CBSG program
20
21 may have a better chance of living healthier and more rewarding lives if given the
22
23 opportunity to receive this prevention intervention program.
24
25
26
27
28
29

30 The psychological, social, and cognitive domains targeted with the CBSG program underlie
31
32 the developmental competency of self-control. Moffitt et al (2011) followed a cohort of
33
34 1,000 children from birth to 32-years old and tested their levels of self-control every 2- to 3-
35
36 years starting at 3-years old. Moffitt and colleagues (2011) found that children who had a
37
38 'willingness to listen', were able to 'cooperate well with others', and exhibited 'appropriate
39
40 interactions with others' showed a level of self-control and self-regulation that predicted
41
42 positive adult behaviors by the age of 32-years old. Moffitt et al (2011) also reported that
43
44 the children who had the poorest self-control during their most critical window of cognitive
45
46 developmental (e.g. 3-11-years old) had significantly poorer health outcomes, more
47
48 financial problems, higher incidents of single-parenthood, and more criminal convictions
49
50 even after controlling for gender, low IQ and low family socioeconomic status in adulthood.
51
52 Although our study was not designed for extensive follow-up, the Moffett et al (2011) results
53
54 lends support to our findings that CBSG participation has promise in averting these kinds
55
56 of negative life outcomes.
57
58
59
60
61
62
63
64
65

1
2
3
4
5
6 It is important to note that participants in both the Moffitt et al (2011) or Jones et al (2015)
7
8 studies did not receive or participate in any prevention intervention programs. To our
9
10 knowledge there are no other prevention intervention school-based programs with the kind
11
12 of curriculum that CBSG has designed in targeting a well-defined group of developmental
13
14 competencies that are now known to predict adult outcomes. Taken together with our data,
15
16 these lines of evidence suggest that implementing the CBSG prevention intervention
17
18 program in the school setting to at-risk children has convincing potential to mitigate the
19
20 adverse effects of ACEs later in life.
21
22
23
24
25

26
27
28 For better or for worse, the psychological, social, and cognitive development of ACE
29
30 children perpetuates a cycle of public health outcomes that will repeat itself generation after
31
32 generation. Thus, redirecting the future health and well-being of our youngest and most
33
34 vulnerable children to avert them from becoming indigent, homeless, criminals, substance
35
36 abusers, with chronic mental and/or medical illness is a worthwhile endeavor to undertake.
37
38 Further examining the CBSG program to prevent and intervene in the lives of at-risk
39
40 children who undergo ACEs should be a priority with child development researchers,
41
42 educators, and public health policy makers.
43
44
45
46
47
48

49 **Limitations and Strengths**

50
51 Although we lacked a control group, this proof-of-concept multi-community site research
52
53 was not designed to compare outcomes between those with and without CBSG exposure.
54
55 If a control group had been implemented, then recruitment might have suffered and it would
56
57 have been difficult to engage two large community sites to participate. Instead, we sought
58
59 to collect preliminary data to determine the impact the CBSG program would have in
60
61
62
63
64
65

1
2
3
4 young, at-risk children. Moreover, the detrimental physical and mental health outcomes of
5
6 ACEs are well-documented; and yet, there are few if any prevention/intervention programs
7
8 delivered to young children to enhance their psychological, social, and cognitive
9
10 development most affected by ACEs. To that end, a strength of this research is that we
11
12 have a rather large sample size from which to determine CBSG effectiveness and our
13
14 stringent statistical significance adds credence to our data interpretations. Another strength
15
16 is that we captured data showing long-term effectiveness of the CBSG program in a 1-year
17
18 follow-up design.
19
20
21
22
23
24

25 While no differences were found in the pre-program scores for the two community sites,
26
27 another possible limitation was the difference in post-program scores. After finding the
28
29 differences were driven by the two largest urban ISD community sites (Dallas vs. Fort
30
31 Worth), it was encouraging to also find that the CBSG program was significantly effective at
32
33 improving post-program behaviors in both community sites regardless of whether the
34
35 schools were situated in urban or suburban locations. Thus, the CBSG program seems to
36
37 show substantial effectiveness across geographic locations. While the outcomes from this
38
39 multi-community study are certainly encouraging, these preliminary proof-of-concept data
40
41 should be viewed with caution.
42
43
44
45
46
47
48
49

50 CONCLUSION

51 The CBSG program appears to enhance psychological, social, and cognitive domains
52
53 underlying self-esteem, social connectedness, internal well-being, and normative behaviors
54
55 in young at-risk children. The literature suggests that these domains and associated
56
57 behaviors may be considered protective factors that are necessary in early childhood in
58
59 order to build resiliency to overcome ACEs now and later in life. Here, the data indicates
60
61
62
63
64
65

1
2
3 that CBSG program participation has strong potential to help set a more positive life
4 trajectory in young, at-risk children that should help increase their chances of living
5
6 healthier and more productive lives.
7
8
9

10 11 12 13 **ACKNOWLEDGEMENTS**

14
15 We would like to thank Cindy Wright of Rainbow Days, Inc., Lisa Reiling and Erin McCurdy
16 of Recovery Resource Council for providing data collection and entry. Thanks to Cathey
17 Brown, M.Ed., CEO of Rainbow Days, Inc. and Eric Niedermayer, B.S.W., M.S.W., CEO of
18 Recovery Resource Council for their continued support of this research.
19
20
21
22
23
24
25
26
27

28 **REFERENCES**

- 29
30 1. Anda, R.F., Whitfield, C. L., Felitti, V. J., Chapman, D., Edwards, V.J., Dube, S. R., &
31 Williamson, D. F. (2002). Adverse childhood experiences, alcoholic parents, and later risk
32 of alcoholism and depression. *Psychiatric Services*, 53 (8), 1001-1009.
33
34 2. Anda, R.F., Brown, D.W., Dube, S.R., Bremner, J.D., Felitti, V.J., & Giles, W.H. (2008).
35 Adverse Childhood Experiences and Chronic Obstructive Pulmonary Disease in Adults.
36 *American Journal of Preventive Medicine*, 34 (5): 396-403.
37
38 3. Anda, R.F., Croft, J.B., Felitti, V.J., Nordenberg, D., Giles, W.H., Williamson, D.F., &
39 Giovino, G.A. (1999). Adverse childhood experiences and smoking during adolescence
40 and adulthood. *JAMA*, 282:1652–1658.
41
42 4. Batten, S.V., Aslan, M., Maciejewski, P.K., & Mazure, C.M. (2004). Childhood maltreatment
43 as a risk factor for adult cardiovascular disease and depression. *Journal of Clinical*
44 *Psychiatry*, 65, 249-254.
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

5. Blake, B. & Pope, T. (2008). Developmental psychology: Incorporating Piaget's and Vygotsky's theories in classrooms. *Journal of Cross-Disciplinary Perspectives in Education*, 1 (1): 59-67.
6. Brown, M.J., Thacker, L.R., Cohen, S.A. (2013). Association between Adverse Childhood Experiences and Diagnosis of Cancer. *PLoS ONE* 8(6): e65524.
doi:10.1371/journal.pone.0065524
7. Centers for Disease Control and Prevention, Injury Prevention & Control: Division of Violence Prevention. The Adverse Childhood Experiences (ACE) Study. Retrieved [12/20/2014] from: www.cdc.gov/violenceprevention/acestudy/prevalence.html.
8. Chapman, D.P., Anda, R.F., Felitti, V.J., Dube, S.R., Edwards, V.J., & Whitfield, C.L. (2004). Adverse childhood experiences and the risk of depressive disorders in adulthood. *Journal of Affective Disorders*, 82: 217–225.
9. Curriculum-Based Support Group (CBSG®) Program. SAMSHA's National Registry of Evidence-based Programs and Practices (NREPP) Website. Available at www.nrepp.samhsa.gov/ViewIntervention.aspx?id=185. Retrieved June 02, 2014.
10. Curriculum-Based Support Group (CBSG®) Program Overview. Rainbow Days Website. Available at www.rdikids.org/docs/CBSGOverview2014.pdf. Retrieved June 05, 2014.
11. Danese, A., Moffitt, T.E., Harrington, H.L., Milne, B.J., Polanczyk, G., Pariante, C.M., Poulton, R., & Caspi, A. (2009). Adverse Childhood Experiences and Adult Risk Factors for Age-Related Disease Depression, Inflammation, and Clustering of Metabolic Risk Markers. *Archives of Pediatric Adolescent Medicine*, 163 (12), 1135-1143.
12. Darcy, I., Lowell, D.I., Carter, A.S., Godoy, L., Paulicin, B., & Briggs-Gowan, M.J. (2011). A Randomized Controlled Trial of Child FIRST: A Comprehensive Home-Based Intervention Translating Research Into Early Childhood Practice. *Child Development*, 82(1), 193-208.

13. Dewit, D.J., Adlaf, E.M., Offord, D.R., & Ogborne, A.C. (2000). Age at first alcohol use: A risk factor for the development of alcohol disorders. *American Journal of Psychiatry*, 157(5): 745-750.
14. Dong, M., Giles, W.H., Felitti, V.J., Dube, S.R., & Williams, J.E. (2004). Insights into causal pathways for ischemic heart disease: Adverse childhood experiences study. *Circulation*, 110: 1761-1766.
15. Dube, S.R., Fairweather, D., Pearson, W.S., Felitti, V.J., Anda, R.F., & Croft, J.B. (2009). Cumulative childhood stress and autoimmune diseases in adults. *Psychosomatic Medicine*, 71(2), 243-250.
16. Dube, S.R., Miller, J.W., Brown, D.W., Giles, W.H., Felitti, V.J., Dong, M., & Anda, R.F. (2006). Adverse childhood experiences and the association with ever using alcohol and initiating alcohol use during adolescence. *Journal of Adolescent Health*, 38 (4): 444.e1–444.e10.
17. Dube, S.R., Felitti, V.J., Dong, M., Chapman, D.P., Giles, W.H., & Anda, R.F. (2003). Childhood abuse, neglect and household dysfunction and the risk of illicit drug use: the Adverse Childhood Experience Study. *Pediatrics*, 111 (3): 564–572.
18. Dube, S.R., Anda, R.F., Felitti, V.J., Chapman, D., Williamson, D.F., & Giles, W.H. (2001). Childhood abuse, household dysfunction and the risk of attempted suicide throughout the life span: Findings from Adverse Childhood Experiences Study. *JAMA*, 286: 3089–3096.
19. Dube, S.R., Miller, J.W., Brown, D.W., Giles, W.H., Felitti, V.J., Dong, M., & Anda, R.F., (2001). Adverse childhood experiences and the association with ever using alcohol and initiating alcohol use during adolescence. *Journal of Adolescent Health*, 38 (4): 444.e1–444.e10.
20. Felitti, V.J., Anda, R.F., Nordenberg, D., Williamson, D.F., Spitz, A.M., Edwards, V., & Koss, M.P. (1998). Relationship of childhood abuse and household dysfunction to many of

the leading causes of death in adults: The Adverse Childhood Experiences (ACE) Study. American Journal of Preventive Medicine 14(4), 245-258.

21. Fischer, K. W. (1980). A theory of cognitive development: The control and construction of hierarchies of skills. *Psychological Review*, 87(6): 477-531.
22. Flaherty, E. G., Thompson, R., Dubowitz, H., Harvey, E. M., English, D. J., Proctor, L. J., & Runyan, D. K. (2013). Adverse childhood experiences and child health in early adolescence. *JAMA Pediatrics*, 167 (7), 622-629.
23. Flaherty, E. G., Thompson, R., Litrownik, A. J., Theodore, A., English, D. J., Black, M. B., Wike, T., Whimper, L., Runyan, D. K., & Dubowitz, H. (2006). Effect of early childhood adversity on child health. *Archives of Pediatric & Adolescent Medicine*, 160 (12), 1232-1238.
24. Ford, E.S., Anda, R.F., Edwards, V.J., Perry, G.S., Zhao, G., Li, C., & Croft, J.B. (2011). Adverse childhood experiences and smoking status in five states. *Preventative Medicine*, 53: 188-193.
25. Fuemmeler, B.F., Dedertb, E., McClernon, F.J., Beckhamb, J.C. (2009). Adverse childhood events are associated with obesity and disordered eating: Results from a U.S. population-based survey of young adults. *Journal of Traumatic Stress*, 22(4), 329-333.
26. Grant, J.D., Scherrer, J.F., Lynskey, M.T., Lyons, M.J., Eisen, M.A., & Tsuan, M.T. (2006). Adolescent alcohol use is a risk factor for adult alcohol and drug dependence: evidence from a twin design. *Psychological Medicine*, 36: 109-118.
27. IBM SPSS Statistics for Windows, Version 21.0, released 2012. Armonk, NY: IBM Corp.
28. Jones, D.E., Greenberg, M., & Crowley, M. (2015). Early social-emotional functioning and public health: The relationship between kindergarten social competence and future wellness. *American Journal of Public Health*. Advance online publication July 16, 2015: e1-e8. doi:10.2105/AJPH.2015.302630.

- 1
2
3
4 29. Kaufman, J., Yang, B-Z., Douglas-Palumberi, H., Crouse-Artus, M., Lipschitz, D., Krystal,
5
6 J.H., & Gelernter, J. (2007). Genetic and environmental predictors of early alcohol use.
7
8 Biological Psychiatry, 61:1228-1234.
9
- 10
11 30. Kellam, S.G., Branch, J.D., Agrawal, K.C., & Ensminger, M.E. (1975). Mental health and
12
13 going to school: The Woodlawn program of assessment, early Intervention, and evaluation.
14
15 Chicago: University of Chicago.
16
17
- 18 31. Lynch, L., Waite, R., & Davey, M.P. (2013). Adverse Childhood Experiences and Diabetes
19
20 in Adulthood: Support for a Collaborative Approach to Primary Care. Contemporary Family
21
22 Therapy, 35, 639-655.
23
24
- 25 32. Malerstein, A.J. & Ahern, M.M. (1979). Piaget's stages of cognitive development and adult
26
27 character structure. American Journal of Psychotherapy, 33 (1): 107-118.
28
29
- 30 33. Mandleco, B.L. & Peery, J.C. (2000). An organizational framework for conceptualizing
31
32 resilience in children. Journal of Child and Adolescent Psychiatric Nursing, 13(3), 99-111.
33
34
- 35 34. Mann, M., Hosman, C.M.H., Schaalma, H.P., & de Vries, N.K. (2004). Self-esteem in a
36
37 broad-spectrum approach for mental health promotion. Health Education Research, 19 (4):
38
39 357-372.
40
41
- 42 35. Moffitt, T.E., Arseneault, L., Belsky, D., Dickson, N., Hancox, R.J., Harrington, H.L., Houts,
43
44 R., Poulton, R., Roberts, B.W., Ross, S., Sears, M.R., Thomson, W.M., & Caspi, A. (2011).
45
46 A gradient of childhood self-control predicts health, wealth, and public safety. PNAS, 108
47
48 (7): 2693-2698.
49
50
- 51 36. National Survey of Children's Health. NSCH 2011/12. Data query from the Child and
52
53 Adolescent Health Measurement Initiative, Data Resource Center for Child and Adolescent
54
55 Health website. Retrieved [12/20/14] from www.cdc.gov/nchs/slits/nsch.htm#2011nsch
56
57
58
59
60
61
62
63
64
65

- 1
2
3
4 37. Nilsson, D., Dahlstrom, O., Priebe, G., & Svedin, C.G. (2015). Polytraumatization in an
5 adult national sample and its association with psychological distress and self-esteem.
6 Brain and Behavior, 2015; 5(1), e00298, doi: 10.1002/brb3.298.
7
8
9
10
11 38. Reavis, J.A., Looman, J., Franco, K.A., & Rojas, B. (2013). Adverse Childhood Experiences
12 and Adult Criminality: How Long Must We Live before We Possess Our Own Lives? The
13 Permanente Journal, 17(2): 44-48.
14
15
16
17
18 39. Rothman, E.F., Edwards, E.M., Heeren, T., & Hingson, R.W. (2008). Adverse childhood
19 experiences predict earlier age of drinking onset: Results from a representative US sample
20 of current or former drinkers. Pediatrics, 122(2): 298-304.
21
22
23
24
25 40. Wing, R., Gjelsvik, A., Nocera, M., McQuaid, E.L. (2015). Association between adverse
26 childhood experiences in the home and pediatric asthma. Annals of Allergy, Asthma, and
27 Immunology, 114, 379-384.
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

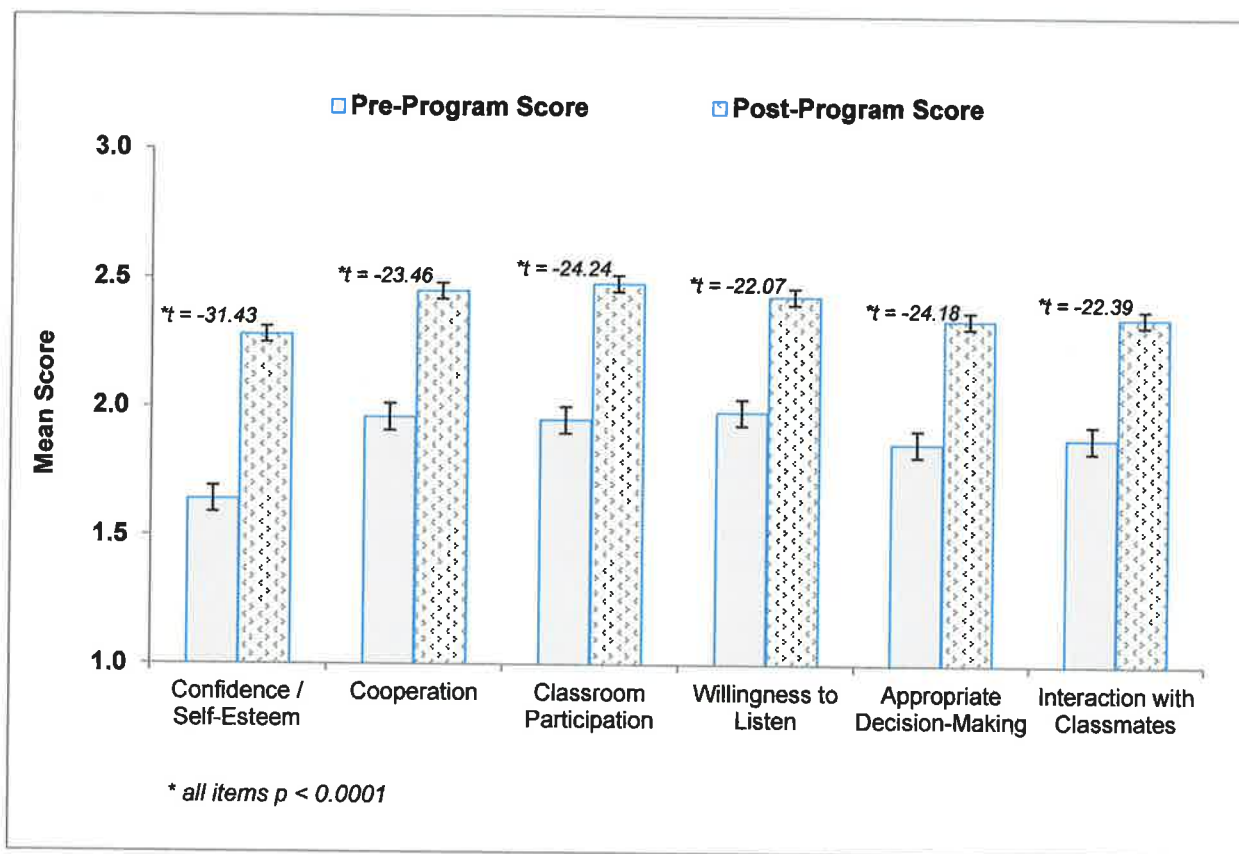
Figure 1. Combined Sites 1 and 2 Pre- and Post-Program Survey Scores*

Figure 2. Site 1 Pre- and Post-Program Survey Scores*

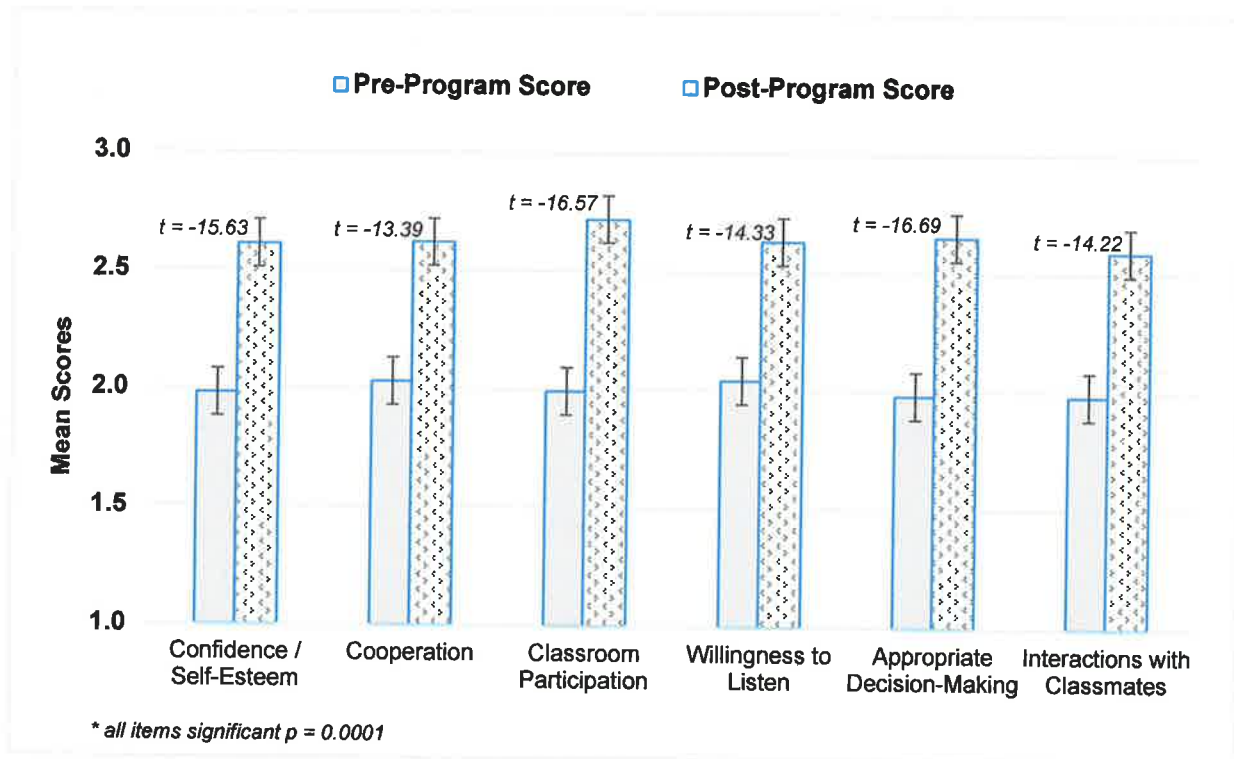


Figure 3. Site 2 Pre- and Post-Program Survey Scores*

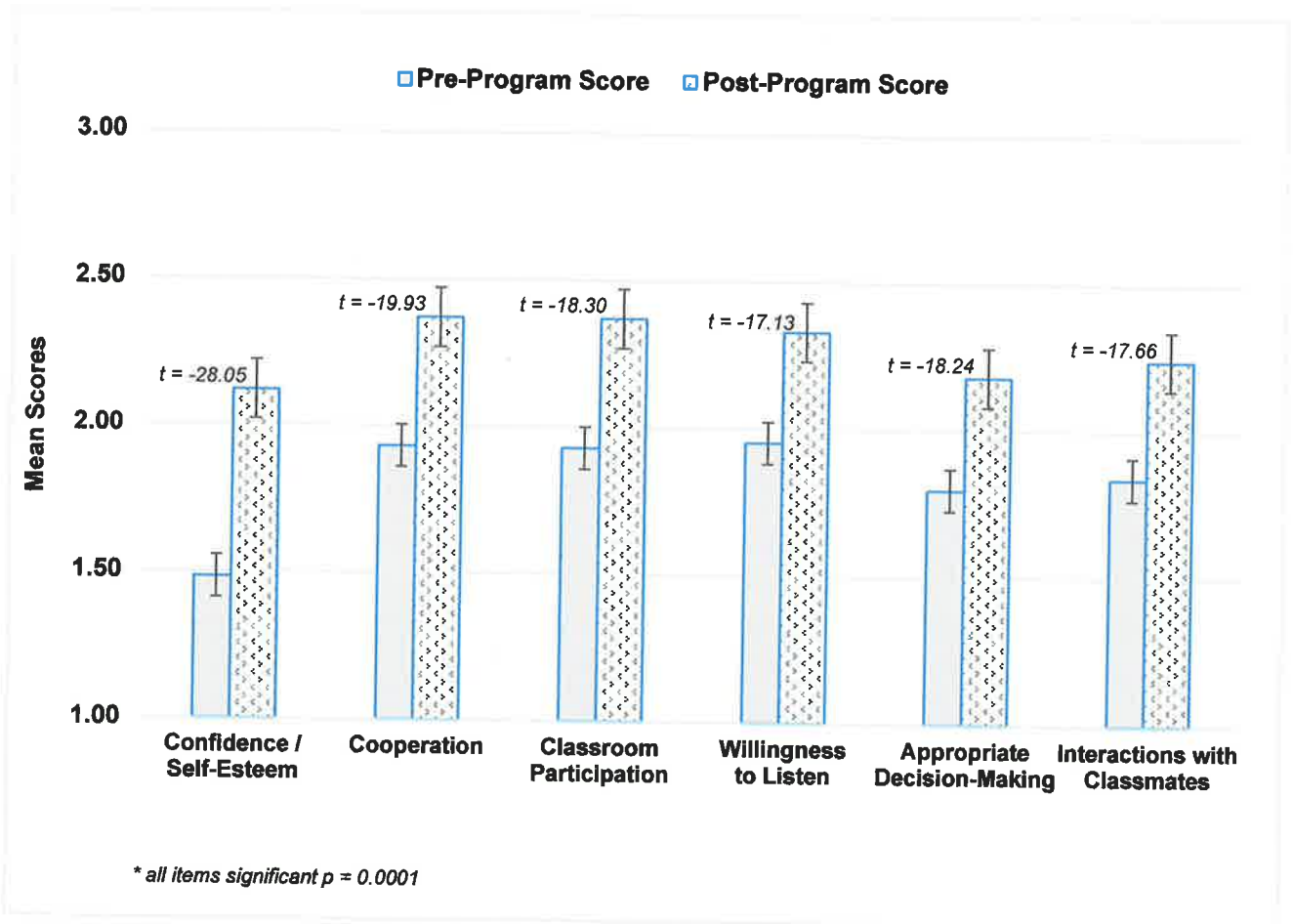


Figure 4. Site 2 Pre- and Post-Program and One-Year Follow-up Survey Scores

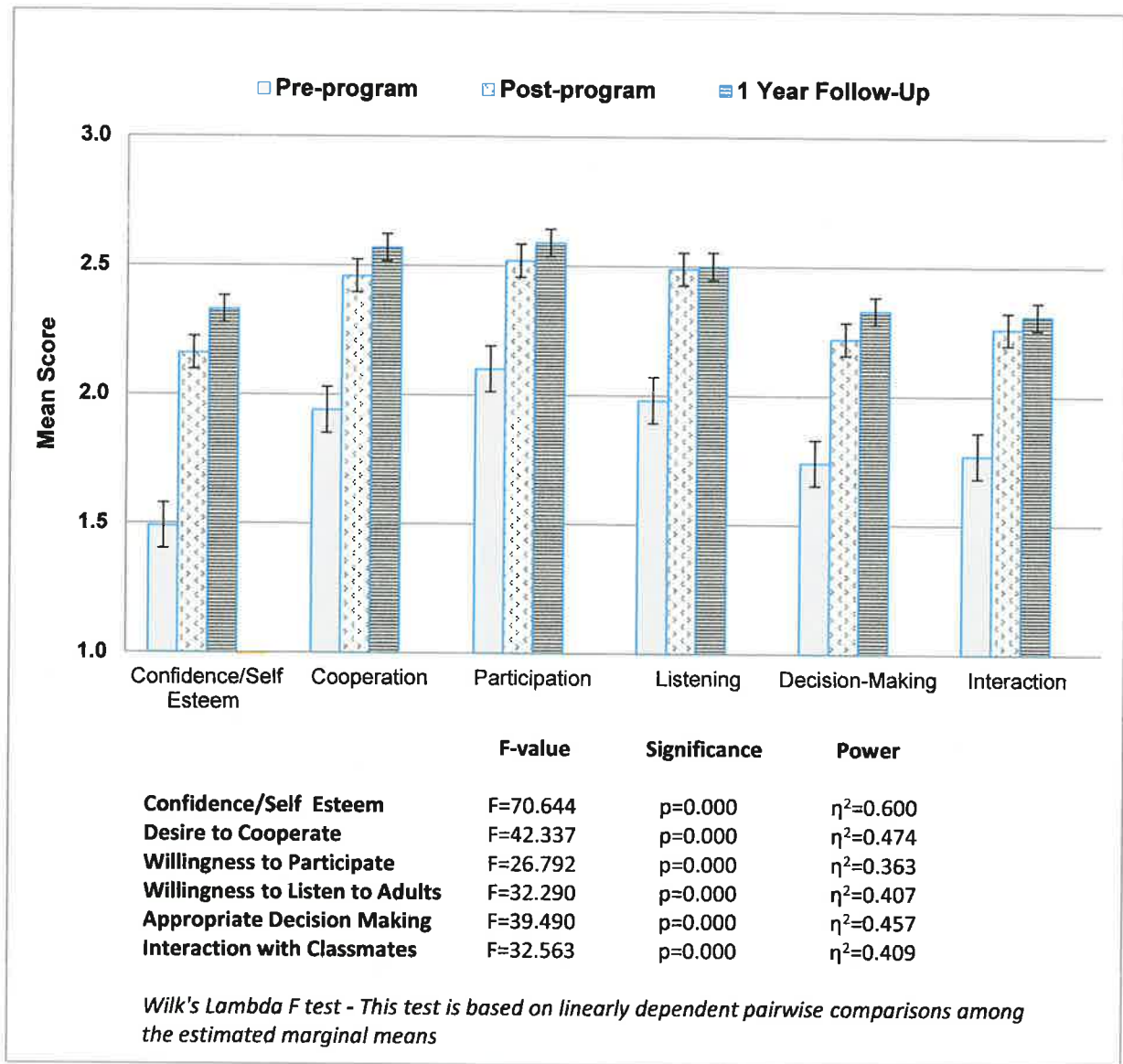


Table 1. Combined Multi-Community Sites 1 + 2 Demographic Characteristics

Grades K-3 (mean age = 6.83 \pm 1.21) Community Sites 1 + 2						
Gender	Black	White (non-Hispanic)	Hispanic	Other	Missing	Total
Girls	133	118	170	10	3	434
Boys	193	145	246	14	2	600
Missing	1	1	2	1	18	23
Total	327	264	418	25	23	1057

Table 2. Site 1 Demographic Characteristics

Grades K-3 (mean age = 6.62 ± 1.12)						
Gender	Black	White (non-Hispanic)	Hispanic	Other	Missing	Total
Girls	72	20	65	1	0	158
Boys	91	16	73	3	0	183
Missing	1	0	0	0	1	1
Total	164	36	138	4	1	342

Table 3. Site 2 Demographic Characteristics

Grades K-3 (mean age = 6.93 ± 1.24)						
Gender	Black	White (non-Hispanic)	Hispanic	Other	Missing	Total
Girls	61	98	105	9	3	276
Boys	102	129	173	11	2	417
Missing	0	1	2	1	18	22
Total	163	228	280	21	23	715
<i>Participants who completed 1-year follow-up</i>						
Girls	1	15	26	5	0	47
Boys	3	26	17	2	0	48
Missing	0	0	0	1	0	1
Total	4	41	43	8	0	96